

06 - 27 - 00

A

Please type a plus sign inside this box ☐

PTO/SB/05 (12/97)

Approved through 09/30/00, OMB 0651-0032

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 01978 0229 Total Pages 36  
First Named Inventor or Application Identifier  
Thomas P. Stewart et al.  
Express Mail Label No. EK522131412US

## APPLICATION ELEMENTS

MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

- 1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
- 2. ☒ Specification [Total Pages / 28 / ]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- 3. ☒ Drawing(s) (35 USC 113) [Total Sheets / 5 / ]
- 4. ☒ Oath or Declaration [Total Pages / 3 / ]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)  
[Note Box 5 below]
  - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named  
in the prior application, see 37 CFR 1.63(d)(2) and  
1.33(b).
- 5. ☐ Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from  
which a copy of the oath or declaration is supplied  
under Box 4b, is considered as being part of the  
disclosure of the accompanying application and is  
hereby incorporated by reference therein.

- 6. ☐ Microfiche Computer Program (Appendix)
- 7. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

- 8. ☒ Assignment Papers (cover sheet & document(s))
- 9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
- 10. ☐ English Translation Document (if applicable)
- 11. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
- 12. ☐ Preliminary Amendment
- 13. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
- 14. ☒ Small Entity ☐ Statement filed in prior application,  
Statement(s) Status still proper and desired
- 15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
- 16. ☒ Other: checks - \$1035.00 and 40.00

## 17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of the prior application No: \_\_\_ / \_\_\_

## 18. CORRESPONDENCE ADDRESS

NAME	Kevin D. McCarthy, Esq.				
	Hodgson, Russ, Andrews, Woods & Goodyear, LLP				
ADDRESS	One M&T Plaza, Suite 2000				
CITY	Buffalo	STATE	New York	ZIP CODE	14203-2391
COUNTRY	United States of America	TELEPHONE	(716) 856-4000	FAX	(716) 849-0349

"Express Mail" Mailing Label Number EK522131412US Date of Deposit June 26, 2000

I hereby Certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Kathleen M. Ashton  
Name

*Kathleen M. Ashton*  
Signature

# FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision. Small Entity payments **MUST** be supported by a small entity statement, otherwise large entity fees must be paid. 37 CFR §§ 1.27 & 1.28

Applicant Number

Filing Date

June 26, 2000

First Named Inventor

Thomas P. Stewart et al.

Examiner Name

Group/Art Unit

TOTAL AMOUNT OF PAYMENT

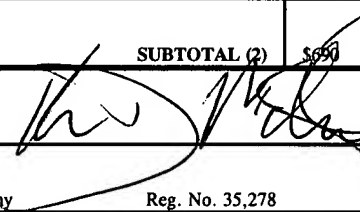
(\$1075.00)

Attorney Docket Number

01978.0229

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

## 3. ADDITIONAL FEES

Deposit Account Number	Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
08-2442						
Deposit Account Name: Hodgson, Russ, Andrews, Woods & Goodyear, LLP	105	130	205	65	Surcharge - late filing fee or oath	\$
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	127	50	227	25	Surcharge - late provisional filing fee or cover sheet	\$
2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other	139	130	139	130	Non-English specification	\$
<b>FEE CALCULATION</b>	147	2,520	147	2,520	For filing a request for reexamination	\$
1. <b>FILING FEE</b> Large Entity Small Entity	112	920*	112	920*	Requesting Publication of SIR prior to Examiner Action	\$
Fee Code (\$)	113	1,840*	113	1,840*	Requesting Publication of SIR after Examiner Action	\$
101 690 201 345 Utility filing fee	115	110	215	55	Extension for reply within first month	\$
106 310 206 155 Design filing fee	116	380	216	190	Extension for reply within second month	\$
107 480 207 240 Plant filing fee	117	870	217	435	Extension for reply within third month	\$
108 690 208 345 Reissue filing fee	118	1,360	218	680	Extension for reply within fourth month	\$
114 150 214 75 Provisional filing fee	128	1,850	228	925	Extension for reply within fifth month	\$
<b>SUBTOTAL (1)</b>	119	300	219	150	Notice of Appeal	\$
2. <b>EXTRA CLAIM FEES</b> Extra Fee from Claims below	120	300	220	150	Filing a brief in support of an appeal	\$
Total Claims / 88 / -20** = / 68 / x / 9/	121	260	221	130	Request for oral hearing	\$
Independent Claims / 5 / - 3** = / 2 / x / 39 /	138	1,510	138	1,510	Petition to institute a public use proceeding	\$
Multiple dependent / / x / / =	140	110	240	55	Petition to revive - unavoidable	\$
Large Entity Small Entity	141	1,210	241	605	Petition to revive - unintentional	\$
Fee Code (\$)	142	1,210	242	605	10 advance copies Utility issue fee (or reissue)	\$
103 18 203 9 Claims in excess of 20	143	430	243	215	Design issue fee	\$
102 78 202 39 Independent claims in excess of 3	144	580	244	290	Plant issue fee	\$
104 260 204 130 Multiple dependent claim if not paid	122	130	122	130	Petitions to the Commissioner	\$
109 78 209 39 **Reissue independent claims over original patent	123	50	123	50	Petitions related to provisional applications	\$
110 18 210 9 **Reissue claims in excess of 20 and over original patent	126	240	126	240	Submission of Information Disclosure Statement	\$
<b>SUBTOTAL (2)</b>	581	40	581	40	Recording each patent assignment per property (times number of properties)	\$40
<b>SIGNATURE:</b> 	146	690	246	345	Filing a submission after final rejection (37 CFR 1.129(a))	\$
Kevin D. McCarthy Reg. No. 35,278	149	690	249	345	For each additional invention to be examined (37 CFR 1.129(b))	\$
<b>DATE:</b> June 26, 2000 <b>Telephone:</b> (716) 848-1622	<b>*Reduced by basic filing fee paid</b>				<b>SUBTOTAL (3)</b>	<b>\$40</b>

Express Mail<sup>®</sup> Mailing Label Number EK522131412US

Date of Deposit June 26, 2000

I hereby Certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Kathleen M. Ashton  
Name

Signature

